



number of years, the write times required for programming in the memory content are significantly longer than in the case of the RAM memory element.

There is therefore a need for semiconductor memory elements in which fast write times (of about 10 nanoseconds) are combined with long retention times (of more than one year) and low write voltages.

K.K. Likharev, "Layered tunnel barriers for non-volatile memory devices", Applied Physics Letters Vol. 73, pages 2137-2139, has proposed a so-called "crested barrier" memory element, in which a floating gate is charged or discharged via a serial arrangement of (typically three) tunnel barriers, the tunnel barriers having a profiled (= "crested") form. In this case, the tunnel barriers are not formed in the customary manner in the form of a square-wave potential with a constant height of the potential barrier, but rather are profiled by means of "peaks".

Since, compared with a conventional tunnel barrier, such a "profiled" tunnel barrier has a greater charge transmission and a greater sensitivity for the voltage present, relatively fast write times can be achieved theoretically in any case with such a "crested barrier" semiconductor memory element. However, the write voltages required for writing are relatively large since the construction of the "crested barrier" structure requires layer structures with areally distributed nanocrystals arranged at a relatively large distance of approximately 3-5 nm from one another, in the case of which coupling between adjacent layers is relatively weak.

EP 0 908 954 A2, ("Semiconductor memory device and manufacturing method thereof"; Appl.: Hitachi Ltd.) has disclosed a proposal for a so-called PLED memory

element (PLED = Planar Localized Electron Device), which has two word lines and also a source line, a drain line and a data line in a five-terminal arrangement. A multiple tunnel barrier is grown on a floating gate applied above a substrate. The PLED memory element has a write transistor and a read transistor. In this case, the substrate of the write transistor is formed by the multiple tunnel barrier and the gate of the write transistor is formed by the second word line. The floating gate itself forms the gate of the read transistor. In the case of this PLED memory element, it is possible to achieve short write times (similar to those of a RAM memory element) and long retention times (similar to those of a ROM memory element). Moreover, the required write voltages are significantly lower than in the case of the “crested barrier” memory element mentioned above.

However, the method for fabricating such a PLED memory element is relatively complicated, as is explained below.

In the known method for fabricating the PLED memory element, firstly a floating gate (memory node) is formed selectively on a substrate covered by a gate insulation layer, whereupon its side walls are covered by an insulating layer. A first gate electrode is formed by firstly applying a polysilicon layer over the whole area. Photoresist is then applied where the first gate electrode is intended to be formed, and an anisotropic etching step is carried out. Since the anisotropic etching is not effected in the horizontal direction, the polysilicon also remains on the side wall of the floating gate, thereby forming the first gate electrode.

Afterward, a multiple tunnel barrier is formed on the structure thus obtained, and a second gate electrode is formed adjacent to the multiple tunnel barrier and in a

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The EPROM cell is fully depleted. The control gate of the EPROM cell is composed of p+-doped semiconductor material.

US 6,211,531 B1 describes a vertical floating gate transistor having a multiplicity of tunnel barriers.

Furthermore, US 5,952,692 A describes a memory device having a memory node, to which charge is written through a tunnel barrier arrangement. The stored charge influences the conductivity of the source/drain path. The tunnel barrier arrangement has a multiplicity of tunnel barriers, the tunnel barrier arrangement alternately having a 3 nm thick polysilicon layer and a 1 nm thick silicon nitride layer.

#### **SUMMARY OF THE INVENTION**

Consequently, the invention is based on the problem of providing a method for fabricating a semiconductor memory element arrangement, a method for operating a semiconductor memory element arrangement and a semiconductor memory element arrangement which enable simpler fabrication whilst ensuring operation free of disturbances.

The problem is solved by means of the method for fabricating a semiconductor memory element arrangement, the method for operating a semiconductor memory element arrangement and the semiconductor memory element arrangement in accordance with the independent patent claims.

In a method for fabricating a semiconductor memory element arrangement, a first electrically insulating layer is applied on a substrate.

A layer system comprising a floating gate and a tunnel barrier arrangement applied on the floating gate is applied on the first electrically insulating layer.

A first gate electrode is formed adjacent to the floating gate, via which gate electrode electrical charge can be fed to the floating gate or can be dissipated from the latter.

A second gate electrode is formed adjacent to the tunnel barrier arrangement, via which gate electrode it is possible to control the electrical charge transmission of the tunnel barrier arrangement.

The first and second gate electrodes are formed in a first trench structure formed in the layer system, which trench structure comprises first trenches arranged parallel to one another and extending as far as the first insulating layer, and a second trench structure formed in the layer system, which trench structure comprises second trenches arranged parallel to one another and perpendicular to the first trenches and extending as far as the first insulating layer.

By virtue of the fact that firstly the floating gate and likewise the tunnel barrier arrangement are applied layer by layer on the substrate, then a first and second trench structure are formed in this layer sequence and only then are the first and second gate electrodes formed adjacent to the tunnel barrier arrangement and adjacent to the floating gate in said trench structures, the fabrication method according to the invention is simplified considerably in comparison with the known method. In this case, the two gate electrodes are formed as spacers in a self-aligning manner.

In the semiconductor element arrangement thus fabricated, data are written or erased by application of a positive electrical voltage to the second gate electrode and application of

a negative or positive electrical voltage to the data line. The positive voltage present at the second gate electrode increases the electrical charge transmission of the tunnel barrier arrangement during the writing or erasing process and enables electrical charge to be fed to or dissipated from the floating gate and thus an inversion of the channel situated between source and drain regions in the substrate.

The read process is effected by application of a positive voltage to the first gate electrode in order to test the threshold voltage of the read transistor formed by the floating gate and the source or drain terminal. Thus, during reading, with an electrical voltage present between source and drain regions, depending on the inverted or noninverted state of the channel, a current flow is or is not detected in the channel.

The fact that only the first gate electrode is used for reading and only the second gate electrode is used for writing prevents a reduction of the electrical charge situated on the floating gate via the multiple tunnel barrier during the read process, so that reading can be effected in a manner free of disturbances.

In the semiconductor memory element arrangement fabricated by means of the method according to the invention, it is possible, moreover, to realize particularly high storage densities of  $4 \cdot f^2$  ( $f$  = "minimum feature size"), thereby achieving a highly dense arrangement of memory cells.

In accordance with a preferred embodiment, in order to form the first and second trench structures, a second electrically insulating layer is applied on the tunnel barrier arrangement and patterned in accordance with the first and second trench structures.





In accordance with a preferred embodiment, the step of forming the second gate electrode in the first and second trench structures has the following steps:

- application of a fourth electrically insulating layer on the first polysilicon layer;
- application of a second polysilicon layer on the third and fourth electrically insulating layers with filling of the width of the first trenches and formation of second polysilicon spacers in the second trenches in order to form the second gate electrode.

The first, second, third and fourth insulating layers may be formed for example from silicon nitride or silicon dioxide.

The first and second gate electrodes are preferably formed from polysilicon.

The tunnel barrier arrangement is preferably formed as a layer stack with an alternating layer sequence of semiconducting and insulating layers for the purpose of forming a multiple tunnel barrier.

The semiconductor layers of the layer stack are preferably formed from undoped polysilicon, whereas the insulating layers of the layer stack are preferably formed from silicon nitride or silicon dioxide.

In accordance with a preferred embodiment, the semiconducting layers of the layer stack are formed with a thickness in the range of 30 to 50 nm and the insulating layers being formed with a thickness in the range of 2 to 4 nm.

In accordance with a preferred embodiment, the semiconducting layers of the layer stack are formed with a thickness and also a grain size of at most 2 nm and the insulating layers being formed with a thickness of at most 1.5 nm. In this case, the conductive layers form very thin layers of fine-grained crystals (e.g., polysilicon crystals). Such a thin layer



Preferably, for reading data of the semiconductor memory element arrangement, an electrical voltage is applied to the first gate electrode with the second gate electrode free of voltage.

Preferably, for writing or erasing data of the semiconductor memory element arrangement, an electrical voltage is applied to the second gate electrode with the first gate electrode free of voltage.

In a semiconductor memory element arrangement, in which a plurality of semiconductor memory elements are arranged in a matrix-like manner in a plurality of rows and columns, each semiconductor memory element has

a first electrically insulating layer applied on a substrate,

a layer system comprising a floating gate and a tunnel barrier arrangement applied on the floating gate, said layer system being applied on the first electrically insulating layer;

a first gate electrode adjacent to the floating gate and serving for reading the state of the floating gate transistor; and

a second gate electrode adjacent to the tunnel barrier arrangement, via which gate electrode it is possible to control the charge transmission of the tunnel barrier arrangement;

the first and second gate electrodes being formed in a first trench structure formed in the layer system, which trench structure comprises first trenches arranged parallel to one another and extending as far as the first insulating layer, and a second trench structure formed in the layer system, which trench structure comprises second trenches arranged parallel to one another and perpendicular to the first trenches and extending as far as the first insulating layer.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

Exemplary embodiments of the invention are illustrated in the figures and explained in more detail below.

Figures 1a - 1g show cross sections of a semiconductor memory element arrangement in accordance with an exemplary embodiment of the invention at different states during its fabrication;

Figures 2a - 2g show cross sections of the semiconductor memory element arrangement from Figure 1 at corresponding states during its fabrication in a perpendicular sectional direction with respect to Figure 1;

Figures 3a - 3c show diagrammatic illustrations of the photomasks used during the fabrication of the semiconductor memory element arrangement in accordance with Figures 1 and 2;

Figure 4 shows a diagrammatic illustration of a semiconductor memory element arrangement according to the invention in plan view; and

Figure 5 shows a programming example of the semiconductor memory element arrangement from Figure 4.

## **DETAILED DESCRIPTION OF THE PREFERRED MODE OF THE INVENTION**

A method for fabricating a semiconductor memory element arrangement in accordance with a preferred exemplary embodiment is explained with reference to Figures 1a-g and Figures 2a-g, the cross-sectional views illustrated in Figures 1a-g and Figures 2a-g respectively being illustrated for mutually perpendicular sectional planes.

In accordance with Figure 1a, firstly a layer system comprising a floating gate and a tunnel barrier arrangement applied on the floating gate is formed on a substrate.

For this purpose, in a first step, a silicon substrate 101 is covered by means of an implantation mask, whereupon an arsenic implantation with a dose of about  $10^{16} \text{ cm}^{-3}$  is carried out in order to form source and drain regions 102, 103 in the silicon substrate 101. The implantation mask 203 used in this case is illustrated diagrammatically in Figure 3c and has a pattern of strip-type openings 203a, ..., 203n which are arranged parallel to one another and the distance between which corresponds to the desired distance between the source and drain regions 102, 103.

Afterward, an electrically insulating layer 104 made of silicon dioxide having a thickness of about 6 - 10 nm is grown on the silicon substrate. The vapor phase deposition method (CVD = chemical vapor deposition) is employed for growing the layer 104, and likewise for growing the subsequent layers.

A layer 105 made of polysilicon having a thickness of approximately 50 nm is grown on the layer 104. The layer 105 serves for forming a floating gate of the semiconductor memory element arrangement 100.

Electrically insulating barrier layers 106, 108 and 110 made of silicon nitride ( $\text{Si}_3\text{N}_4$ ) and semiconducting layers 107, 109 and 111 made of polysilicon are grown in an alternating layer sequence on the layer 105. The layer stack formed from the electrically insulating and semiconducting layers 106 - 110 serves for forming a multiple tunnel barrier of the semiconductor memory element arrangement 100.

In the exemplary embodiment illustrated, the polysilicon layers 107 and 109 have a thickness of approximately 40 nm, the polysilicon layer 111 has a thickness of approximately 50 nm, and the barrier layers 106, 108 and 110 have a thickness of approximately 2 nm.

In a next step, in accordance with Figure 1b and Figure 2b, a second electrically insulating layer 112 made of silicon nitride is applied on the polysilicon layer 111.

In a first photolithography step using a first photomask 201, which is illustrated diagrammatically in Figure 3a, trenches arranged parallel to one another and having a width of approximately 150 nm are etched into the second electrically insulating layer 112. The photomask 201 has a multiplicity of strip-type openings 201a, ..., 201n which are arranged parallel to one another and the distance between which corresponds to the minimum feature size (e.g., 150 nm).

The silicon nitride is subjected to dry etching using the photomask 201.

After the removal of the photoresist, silicon nitride is again applied to the uncovered regions of the polysilicon layer 111, whereupon a spacer etching for the purpose of forming silicon nitride spacers 113 is carried out in accordance with Figure 1b. First trenches 114 having a width of approximately 50 nm are formed as a result.

Afterward, as can be seen from Figure 2b, a second photolithography step is carried out using a second photomask 202, which is illustrated diagrammatically in Figure 3b.

The photomask 202 has, like the photomask 201, a multiplicity of strip-type openings 202a, ..., 202n which are arranged parallel to one another and the distance between which corresponds to the minimum feature size (e.g., 150 nm). The second photomask is

positioned perpendicular to the first photomask. The silicon nitride is then subjected to dry etching, so that, in accordance with Figure 2b, second trenches 115 having a width of approximately 150 nm are formed perpendicular to the first trenches 114 illustrated in Figure 1b. The photoresist is subsequently removed.

In a next step, in accordance with Figure 1c and Figure 2c, those regions of the layer structure comprising polysilicon layer 111, multiple tunnel barrier 106-110 and floating gate 105 which are not covered by silicon nitride are etched, thereby forming a first trench structure 116 with mutually parallel trenches 117, cf., Figure 1c, and a second trench structure 118 with second trenches 119 arranged parallel to one another and perpendicular to the first trenches 117, cf., Figure 2c. The first and second trenches 117, 119 in each case extend parallel to the stack direction of the layer stack 106-110 as far as the electrically insulating silicon dioxide layer 104.

Afterward, a third electrically insulating layer 120 made of silicon dioxide is applied on the side walls of the first and, respectively, second trench structure 116, 118. A polysilicon layer 121 is applied on the third electrically insulating layer 120. The polysilicon layer 121 has a layer thickness of approximately 50 nm, so that polysilicon spacers 122 are formed in the second trench structure 118.

The polysilicon layer 121 and, respectively, the polysilicon spacers 122 serve for forming the first gate electrode, which serves for reading the state of the floating gate transistor, i.e., for determining the electrical charge carriers stored in the floating gate.

After a process of etching back the polysilicon layer 121 and, respectively, the polysilicon spacers 122, in a next step in accordance with Figure 1d and Figure 2d, a fourth

electrically insulating layer 123 made of silicon dioxide is applied and subsequently etched back, in accordance with Figure 2d the regions between the polysilicon spacers 122 being completely filled with silicon dioxide and the polysilicon layer 121 and the polysilicon spacer 122 still remaining covered by the fourth electrically insulating layer 123 made of silicon dioxide.

In accordance with Figure 1e and Figure 2e, a polysilicon layer 124 is again applied to the insulating layer 123 made of silicon dioxide. Like the polysilicon layer 121, the polysilicon layer 124 has a layer thickness of approximately 50 nm, so that polysilicon spacers 125 are formed in the second trench structure 118. The height of the polysilicon layer 124 and the polysilicon spacers 125 form an at least partial lateral overlap with the polysilicon layer 111.

The polysilicon layer 124 and, respectively, the polysilicon spacers 125 serve for forming the second gate electrode, it being possible to control the electrical charge transmission of the multiple tunnel barrier by application of an electrical voltage to the second gate electrode.

In accordance with the illustration in Figure 1e and Figure 2e, the height of the floating gate 105 projects somewhat above the region of the insulating layer 123, so that the floating gate 105, on the one hand, and the polysilicon layer 124 and, respectively, the polysilicon spacers 125, on the other hand, overlap one another in the vertical direction in order to form the second gate electrode. However, in the context of the fabrication or in the context of the choice of the individual layer thicknesses, care must be taken to ensure that this overlapping region is as small as possible in order to prevent a disturbing interaction



between the second gate electrode and the floating gate 105 during the writing or erasing of data in the semiconductor element arrangement 100.

In a next step, the layers 112, 113 made of silicon nitride are completely etched away, whereupon, in accordance with Figure 1f and Figure 2f, a fifth electrically insulating layer 126 made of silicon dioxide is firstly deposited and subsequently smoothed by means of CMP (= chemical mechanical polishing). A trench is etched into the layer 126 by means of photolithography. After the deposition of a tungsten layer 127, the data line 127 is patterned using chemical mechanical polishing (CMP). The semiconductor memory element arrangement 100 is thus completed.

Figure 4 diagrammatically illustrates a semiconductor memory element arrangement 300 fabricated according to the method described above in plan view.

The semiconductor memory element arrangement 300 has a total of sixteen semiconductor memory elements  $F_{11}$ ,  $F_{12}$ , ...,  $F_{44}$  arranged in a matrix-like manner. Each semiconductor memory element  $F_{11}$ ,  $F_{12}$ , ...,  $F_{44}$  has, as described above, a floating gate on which a multiple tunnel barrier is respectively applied.

Extending between the semiconductor memory elements  $F_{11}$ ,  $F_{12}$ , ...,  $F_{44}$  is a first trench structure 301 in the vertical direction and a second trench structure 302 in the horizontal direction. The first and second gate electrodes are formed in the regions 304 illustrated in hatched fashion in Figure 4.

In accordance with Figure 4, the first and second gate electrodes extend perpendicularly to the plane of the drawing in the first and second trench structures 301, 302, the first gate electrodes being formed adjacent to the floating gates and the second gate

electrodes being formed adjacent to the multiple tunnel barriers of the semiconductor memory elements  $F_{11}$ ,  $F_{12}$ , ...,  $F_{44}$ .

As described above, the content of each memory cell can thus be read by application of an electrical voltage to the first gate electrode. The electrical charge transmission of the multiple tunnel barrier of each memory cell can be controlled by application of an electrical voltage to the second gate electrode.

The direction of the source and drain regions and of the data line is represented by the arrow 303.

As can be seen from Figure 4 and also from the fabrication process illustrated in Figure 1 and Figure 2, the first and second trench structures 301, 302 have a different width. Whereas in the first trench structure 301 the entire width of the trenches formed is filled by polysilicon in order to form the first and second gate electrodes, in the second trench structure 302 the first and second gate electrodes are formed as spacers. Consequently, in each case two first and second gate electrodes are formed in the second trench structure, said gate electrodes being isolated from one another by an electrically insulating layer running between the respective spacers.

As is shown in Figure 4 using the example of the semiconductor memory element  $F_{23}$ , in this case each of the semiconductor memory elements  $F_{11}$ , ...,  $F_{44}$  has an area of  $(2f) * (2f) = 4 * f^2$ , where “f” represents the so-called minimal feature size. The semiconductor memory element arrangement 300 thus forms a highly dense grid structure. The arrangement of the individual memory cells in this case corresponds to a so-called “virtual ground array”.

A programming example of the semiconductor memory element arrangement 300 from Figure 4 is explained with reference to Figure 5.

Accordingly, in accordance with the exemplary embodiment illustrated, data are written in the semiconductor memory element arrangement 300 by application of a positive voltage of +3 Volts to the second gate electrode and application of a negative voltage of -3 Volts to the data line 210. Data are erased correspondingly by application of a positive voltage of +3 Volts to the second gate electrode and application of a positive voltage of +3 Volts to the data line.

The voltage of +3 Volts present at the second gate electrode increases the electrical charge transmission of the multiple tunnel barrier during the writing or erasing process and enables electrical charge to be fed to or dissipated from the floating gate 105 and thus an inversion of the channel situated between the source and drain regions.

In accordance with the exemplary embodiment illustrated, data are read in the semiconductor memory element arrangement 300 by application of a positive voltage of +3 Volts to the first gate electrode and application of a lower positive voltage of +2 Volts, for example, to all the drain lines, while all the source lines are set to 0 Volts.

The writing of data in the semiconductor memory element arrangement 300 corresponds to the setting of a logic "1" and the erasure corresponds to the setting of a logic "0". The setting of these logic values always takes place on the entire addressed word line with the aid of the corresponding data lines. During reading, a voltage of +3 Volts is applied to the first gate electrode and, upon application of a low voltage of +2 Volts to the drain line, consequently depending on the inverted or noninverted state of the channel, a

current flow in the channel is detected (corresponding to a bit “1”) or is not detected (corresponding to a bit “0”).

The fact that only the first gate electrode is used for reading data from the semiconductor memory element arrangement according to the invention and only the second gate electrode is used for writing data prevents a reduction of the electrical charge situated on the floating gate via the multiple tunnel barrier during the read process, so that the read process can be effected in a manner free of disturbances.